

ON THE CR ANALOGUE OF REILLY FORMULA AND YAU EIGENVALUE CONJECTURE

*SHU-CHENG CHANG¹, *CHIH-WEI CHEN², AND *CHIN-TUNG WU³

ABSTRACT. In this paper, we derive the CR Reilly's formula and its applications to studying of the first eigenvalue estimate for CR Dirichlet eigenvalue problem and embedded p-minimal hypersurfaces. In particular, we obtain the first Dirichlet eigenvalue estimate in a compact pseudohermitian $(2n+1)$ -manifold with boundary and the first eigenvalue estimate of the tangential sublaplacian on closed oriented embedded p-minimal hypersurfaces in a closed pseudohermitian $(2n+1)$ -manifold of vanishing torsion.

1. INTRODUCTION

In the paper of [Re], by integral version of Bochner-type formula, R. Reilly proved so-called Reilly formula which has numerous applications. For example, Reilly himself applied it to prove a Lichnerowicz type sharp lower bound for the first eigenvalue of Laplacian on compact Riemannian manifolds with boundary. In this paper, we will derive the CR version of Reilly's formula and give some applications as well.

Let (M, J, θ) be a pseudohermitian $(2n+1)$ -manifold (see next section for basic notions in pseudohermitian geometry). The CR Reilly's formula (1.3) is involved terms which has no analogue in the Riemannian case. However, one can relate these extra terms to a third-order operator P which characterizes CR-pluriharmonic functions ([L1]) and the fourth-order CR Paneitz operator P_0 ([GL]).

Definition 1.1. ([GL], [P]) *Let (M, J, θ) be a pseudohermitian $(2n+1)$ -manifold. We define*

$$P\varphi = \sum_{\gamma, \beta=1}^n (\varphi \overline{\gamma}_\beta + i n A_{\beta\gamma} \varphi^\gamma) \theta^\beta = \sum_{\beta=1}^n (P_\beta \varphi) \theta^\beta,$$

1991 *Mathematics Subject Classification.* Primary 32V05, 32V20; Secondary 53C56.

Key words and phrases. Pseudohermitian minimal surface, CR Dirichlet eigenvalue, CR Reilly formula, Tangential sublaplacian, CR Yau eigenvalue conjecture.

*Research supported in part by the MOST of Taiwan.

which is an operator that characterizes CR-pluriharmonic functions. Here

$$P_\beta \varphi = \sum_{\gamma=1}^n (\varphi_{\bar{\gamma}}^{\bar{\beta}}_\beta + in A_{\beta\gamma} \varphi^\gamma), \quad \beta = 1, \dots, n,$$

and $\bar{P}\varphi = \sum_{\beta=1}^n \bar{P}_\beta \theta^{\bar{\beta}}$, the conjugate of P . The CR Paneitz operator P_0 is defined by

$$(1.1) \quad P_0 \varphi = 4\delta_b(P\varphi) + 4\bar{\delta}_b(\bar{P}\varphi),$$

where δ_b is the divergence operator that takes $(1,0)$ -forms to functions by $\delta_b(\sigma_\beta \theta^\beta) = \sigma_\beta^\beta$, and similarly, $\bar{\delta}_b(\sigma_{\bar{\beta}} \theta^{\bar{\beta}}) = \sigma_{\bar{\beta}}^{\bar{\beta}}$.

We observe that ([GL])

$$(1.2) \quad \begin{aligned} P_0 \varphi &= 2\Box_b \bar{\Box}_b \varphi - 4in(A^{\beta\gamma} \varphi_\beta)_{,\gamma} \\ &= 2\bar{\Box}_b \Box_b \varphi + 4in(A^{\bar{\beta}\bar{\gamma}} \varphi_{\bar{\beta}})_{,\bar{\gamma}} \\ &= 2(\Delta_b^2 + n^2 T^2) \varphi - 4n \operatorname{Re}(iA^{\beta\gamma} \varphi_\beta)_{,\gamma} \end{aligned}$$

for $\Box_b \varphi = (\bar{\partial}_b \bar{\partial}_b^* + \bar{\partial}_b^* \bar{\partial}_b) \varphi = (-\Delta_b + inT) \varphi = -2\varphi_{\bar{\beta}}^{\bar{\beta}}$.

By using integrating by parts to the CR Bochner formula (3.1), we derive the following CR version of Reilly's formula.

Theorem 1.1. *Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . Then for any real smooth function φ , we have*

$$(1.3) \quad \begin{aligned} &\frac{n+1}{n} \int_M [(\Delta_b \varphi)^2 - \frac{2n}{n+1} \sum_{\beta,\gamma} |\varphi_{\beta\gamma}|^2] d\mu \\ &= \frac{n+2}{4n} \int_M \varphi P_0 \varphi d\mu + \int_M [2Ric - (n+1)Tor]((\nabla_b \varphi)_C, (\nabla_b \varphi)_C) d\mu \\ &\quad - \frac{n+2}{2n} iC_n \int_\Sigma \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p + \frac{i}{2} C_n \int_\Sigma (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^\beta B_{\bar{n}\beta} \varphi) d\Sigma_p \\ &\quad - \frac{1}{4} C_n \int_\Sigma \varphi_0 \varphi_{e_n} d\Sigma_p + \frac{3}{4n} C_n \int_\Sigma \varphi_{e_{2n}} \Delta_b \varphi d\Sigma_p + C_n \int_\Sigma \varphi_{e_{2n}} \Delta_b^t \varphi d\Sigma_p \\ &\quad + \frac{1}{4} C_n \int_\Sigma H_{p,h} \varphi_{e_{2n}}^2 d\Sigma_p + \frac{1}{2} C_n \int_\Sigma \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p \\ &\quad + \frac{1}{4} C_n \int_\Sigma \sum_{j,k=1}^{2n-1} \langle \nabla_{e_j} e_{2n}, e_k \rangle \varphi_{e_j} \varphi_{e_k} d\Sigma_p. \end{aligned}$$

Here P_0 is the CR Paneitz operator on M . $C_n := 2^n n!$; $B_{\beta\bar{\gamma}} \varphi := \varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_\sigma^\sigma h_{\beta\bar{\gamma}}$. $\Delta_b^t := \frac{1}{2} \sum_{j=1}^{2n-1} [e_j^2 - (\nabla_{e_j} e_j)^t]$ is the tangential sublaplacian of Σ and $H_{p,h}$ is the p -mean curvature of Σ with respect to the Legendrian normal e_{2n} , $\alpha e_{2n} + T \in T\Sigma$ for some function α on $\Sigma \setminus S_\Sigma$, the singular set S_Σ consists of those points where the contact bundle $\xi = \ker \theta$ coincides with the tangent bundle $T\Sigma$ of Σ . $(\nabla_b \varphi)_C = \varphi^\beta Z_\beta$ is the corresponding complex $(1,0)$ -vector field of $\nabla_b \varphi$ and $d\Sigma_p = \theta \wedge e^1 \wedge e^{n+1} \wedge \dots \wedge e^{n-1} \wedge e^{2n-1} \wedge e^n$ is the p -area element on Σ .

If (M, J, θ) is a compact pseudohermitian $(2n+1)$ -manifold without boundary, one can check easily that the fourth-order CR Paneitz P_0 is self-adjoint. That is

$$(1.4) \quad \int_M g P_0 f d\mu = \int_M f P_0 g d\mu$$

for all smooth functions f and g . However, if (M, J, θ) is a compact pseudohermitian $(2n+1)$ -manifold with the smooth boundary Σ , it follows from (5.1) and (5.2) that (1.4) holds for all smooth functions with the Dirichlet condition or the Neumann condition as in (1.5) and (1.6) on Σ . In particular, it holds in the situation as in Theorem 1.2 and Theorem 1.3.

That is, one can have the following Dirichlet eigenvalue problem or Neumann eigenvalue problem, respectively :

$$(1.5) \quad \begin{cases} P_0 \varphi = \mu_D \varphi & \text{on } M, \\ \varphi = 0 & \text{on } \Sigma, \\ \Delta_b \varphi = 0 & \text{on } \Sigma, \end{cases}$$

and

$$(1.6) \quad \begin{cases} P_0 \phi = \mu_N \phi & \text{on } M, \\ \Delta_b \phi = 0 & \text{on } \Sigma, \\ (\Delta_b \phi)_{e_{2n}} = 0 & \text{on } \Sigma. \end{cases}$$

Hence

$$(1.7) \quad \int_M \varphi P_0 \varphi d\mu \geq \mu_D^1 \int_M \varphi^2 d\mu$$

for the first Dirichlet eigenvalue μ_D^1 and all smooth functions with $\varphi = 0 = \Delta_b \varphi$ on Σ . Similarly

$$(1.8) \quad \int_M \phi P_0 \phi d\mu \geq \mu_N^1 \int_M \phi^2 d\mu$$

for the first Neumann eigenvalue μ_N^1 and all smooth functions with $\Delta_b \phi = 0 = (\Delta_b \phi)_{e_{2n}}$ on Σ . In general, μ_D^1 and μ_N^1 are not always nonnegative.

Definition 1.2. *Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with the smooth boundary Σ . We say that the CR Paneitz operator P_0 with respect to (J, θ) is nonnegative if*

$$\int_M \varphi P_0 \varphi d\mu \geq 0$$

for all smooth functions with suitable boundary conditions as in Dirichlet eigenvalue problem or Neumann eigenvalue problem of P_0 .

Remark 1.1. Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold of vanishing torsion with the smooth boundary Σ . It follows from (1.2) that the Kohn Laplacian \square_b and $\bar{\square}$ commute and they are diagonalized simultaneously with

$$P_0\varphi = 2\square_b\bar{\square}_b\varphi = 2\bar{\square}_b\square_b\varphi.$$

Then the corresponding CR Paneitz operator P_0 is nonnegative ([CCC]). That is

$$\mu_D^1 = 0 = \mu_N^1.$$

For the first consequence of CR Reilly formula, we can consider the following Dirichlet eigenvalue problem:

$$(1.9) \quad \begin{cases} \Delta_b\varphi = -\lambda_1\varphi & \text{on } M, \\ \varphi = 0 & \text{on } \Sigma. \end{cases}$$

Then we have the following first Dirichlet eigenvalue estimate:

Theorem 1.2. Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with the smooth boundary Σ . If the pseudohermitian mean curvature $H_{p,h}$ is nonnegative and

$$[Ric - \frac{n+1}{2}Tor](Z, Z) \geq k \langle Z, Z \rangle$$

for all $Z \in T_{1,0}$ and a positive constant k , then

(i) For $n \geq 2$,

$$\lambda_1 \geq \frac{nk}{n+1};$$

(ii) For $n = 1$,

$$\lambda_1 \geq \frac{k + \sqrt{k^2 + 6\mu_D^1}}{4}$$

with $\mu_D^1 \geq -\frac{k^2}{6}$. In addition if P_0 is nonnegative, in particular if the torsion is vanishing, then

$$\lambda_1 \geq \frac{k}{2}.$$

Remark 1.2. It is known that the sharp first eigenvalue estimate is obtained as in [Gr], [LL], [Ch], [CC2] and [FK] in a closed pseudohermitian $(2n+1)$ -manifold.

Next we can state the second consequence of the CR Reilly formula (1.3) which served as a CR analogue of Yau conjecture [Y] on the first eigenvalue estimate of embedded oriented minimal hypersurfaces. We refer to papers of Choi-Wang [CW] and Tang-Yan [TY] which are related to Yau conjecture.

As before, $\{e_1, e_2, \dots, e_n, e_{n+1}, \dots, e_{2n-1}, \alpha e_{2n} + T\}$ is the base of $T\Sigma$ for some function α on $\Sigma \setminus S_\Sigma$. It follows from (3.11) that $\Delta_b^t + \alpha e_n$ is a self-adjoint operator with respect to the p -area element $d\Sigma_p$ on Σ . Hence it is natural to consider the following CR analogue of eigenvalue problem on the embedded closed p -minimal ($H_{p,h} = 0$) hypersurface Σ in a closed pseudohermitian $(2n+1)$ -manifold (M, J, θ) :

$$(1.10) \quad L_\alpha u = -\lambda_1 u,$$

here

$$(1.11) \quad L_\alpha := \Delta_b^t + \alpha e_n.$$

In this paper, we consider the particular case that $\{e_1, e_2, \dots, e_n, e_{n+1}, \dots, e_{2n-1}, T\}$ are always tangent to Σ ($\alpha = 0$) as following:

$$(1.12) \quad L_0 := \Delta_b^t.$$

That is, we have the first eigenvalue estimate of L_0 on embedded oriented hypersurfaces of nonnegative pseudohermitian mean curvature:

Theorem 1.3. *Let Σ be a compact embedded oriented p -minimal hypersurface with $\alpha = 0$ in a closed pseudohermitian $(2n+1)$ -manifold (M, J, θ) of vanishing torsion. Suppose that the pseudohermitian Ricci curvature of M is bounded from below by a positive constant k . Then*

(i) *The first non-zero eigenvalue λ_1 of L_0 on Σ has a lower bound given by*

$$\lambda_1 \geq \frac{k}{2}.$$

(ii) *In case of $n = 1$ if the equality holds, (M, J, θ) must be a closed spherical pseudohermitian 3-manifold and Σ be a compact embedded oriented p -minimal surface of genus ≤ 1 . Moreover, (M, J, θ) is the the standard CR 3-sphere $(\mathbf{S}^3, \widehat{J}, \widehat{\theta})$ if it is simply connected.*

Let (M, J, θ) be a closed spherical pseudohermitian 3-manifold. Recall ([CC1]) that we call a CR structure J spherical if Cartan curvature tensor Q_{11} vanishes identically. Here

$$Q_{11} = \frac{1}{6}W_{11} + \frac{i}{2}WA_{11} - A_{11,0} - \frac{2i}{3}A_{11,\bar{1}1}.$$

Note that (M, J, θ) is called a spherical pseudohermitian 3-manifold if J is a spherical structure. We observe that the spherical structure is CR invariant and a closed spherical pseudohermitian 3-manifold (M, J, θ) is locally CR equivalent to the standard pseudohermitian 3-sphere $(\mathbf{S}^3, \widehat{J}, \widehat{\theta})$.

Note that for an p -minimal Clifford torus $\Sigma_0 = S^1(\frac{\sqrt{2}}{2}) \times S^1(\frac{\sqrt{2}}{2}) \subset \mathbb{R}^2 \times \mathbb{R}^2$ in the standard CR 3-sphere \mathbf{S}^3 (i.e. $k = 2$ and $A_{11} = 0$), T is always tangent to Σ_0 (i.e. $\alpha = 0$). Furthermore, the coordinate function x_i of Σ_0 is the eigenfunction of the tangential sublaplacian Δ_b^t with

$$\Delta_b^t x_i = -x_i, \quad i = 1, \dots, 4.$$

Then in view of Theorem 1.3, we have the following CR analogue of Yau conjecture on the first eigenvalue estimate of embedded oriented p -minimal surfaces.

Conjecture 1.1. *The first eigenvalue of L_0 on any closed embedded p -minimal surface of genus ≤ 1 in the standard CR 3-sphere $(\mathbf{S}^3, \widehat{J}, \widehat{\theta})$ is just 1.*

Finally, we propose a CR analogue of Lawson conjecture ([La]):

Conjecture 1.2. *Any closed embedded p -minimal torus (with $\alpha = 0$) in the standard CR 3-sphere \mathbf{S}^3 is the Clifford torus.*

If the Yau conjecture is true for the 2-torus, it was proved in [MR] that the Lawson conjecture holds which is to say that the only minimally embedded torus in \mathbf{S}^3 is the Clifford torus. However, Lawson conjecture was solved by S. Brendle [B] recently.

We briefly describe the methods used in our proofs. In section 3, by using integrating by parts to the CR Bochner formula (3.1), we can derive the CR version of Reilly's formula which involving a third order operator P which characterizes CR-pluriharmonic functions and the CR Paneitz operator P_0 . By applying the CR Reilly's formula, we are able to obtain the first Dirichlet eigenvalue estimate as in section 4 and derive the first non-zero eigenvalue estimate of (1.10) on compact oriented embedded p -minimal hypersurfaces in a closed pseudohermitian $(2n + 1)$ -manifold of vanishing torsion as in section 5.

2. BASIC NOTIONS IN PSEUDOHERMITIAN GEOMETRY

We first introduce some basic materials in a pseudohermitian $(2n + 1)$ -manifold. Let (M, J, θ) be a $(2n + 1)$ -dimensional, orientable, contact manifold with contact structure $\xi = \ker \theta$. A CR structure compatible with ξ is an endomorphism $J : \xi \rightarrow \xi$ such that $J^2 = -1$. We also assume that J satisfies the following integrability condition: If X and Y are in ξ , then so is $[JX, Y] + [X, JY]$ and $J([JX, Y] + [X, JY]) = [JX, JY] - [X, Y]$. A CR structure J can extend to $\mathbb{C} \otimes \xi$ and decomposes $\mathbb{C} \otimes \xi$ into the direct sum of $T_{1,0}$ and $T_{0,1}$ which are eigenspaces of J

with respect to eigenvalues i and $-i$, respectively. A manifold M with a CR structure is called a CR manifold. A pseudohermitian structure compatible with ξ is a CR structure J compatible with ξ together with a choice of contact form θ . Such a choice determines a unique real vector field T transverse to ξ , which is called the characteristic vector field of θ , such that $\theta(T) = 1$ and $\mathcal{L}_T\theta = 0$ or $d\theta(T, \cdot) = 0$. Let $\{T, Z_\beta, Z_{\bar{\beta}}\}$ be a frame of $TM \otimes \mathbb{C}$, where Z_β is any local frame of $T_{1,0}$, $Z_{\bar{\beta}} = \overline{Z_\beta} \in T_{0,1}$ and T is the characteristic vector field. Then $\{\theta, \theta^\beta, \theta^{\bar{\beta}}\}$, which is the coframe dual to $\{T, Z_\beta, Z_{\bar{\beta}}\}$, satisfies

$$(2.1) \quad d\theta = ih_{\beta\bar{\gamma}}\theta^\beta \wedge \theta^{\bar{\gamma}},$$

for some positive definite Hermitian matrix of functions $(h_{\beta\bar{\gamma}})$. Actually we can always choose Z_β such that $h_{\beta\bar{\gamma}} = \delta_{\beta\gamma}$; hence, throughout this note, we assume $h_{\beta\bar{\gamma}} = \delta_{\beta\gamma}$.

The Levi form $\langle \cdot, \cdot \rangle$ is the Hermitian form on $T_{1,0}$ defined by

$$\langle Z, W \rangle = -i \langle d\theta, Z \wedge \overline{W} \rangle.$$

We can extend $\langle \cdot, \cdot \rangle$ to $T_{0,1}$ by defining $\langle \overline{Z}, \overline{W} \rangle = \overline{\langle Z, W \rangle}$ for all $Z, W \in T_{1,0}$. The Levi form induces naturally a Hermitian form on the dual bundle of $T_{1,0}$, also denoted by $\langle \cdot, \cdot \rangle$, and hence on all the induced tensor bundles. Integrating the Hermitian form (when acting on sections) over M with respect to the volume form $d\mu = \theta \wedge (d\theta)^n$, we get an inner product on the space of sections of each tensor bundle.

The pseudohermitian connection of (J, θ) is the connection ∇ on $TM \otimes \mathbb{C}$ (and extended to tensors) given in terms of a local frame $Z_\beta \in T_{1,0}$ by

$$\nabla Z_\beta = \theta_\beta^\gamma \otimes Z_\gamma, \quad \nabla Z_{\bar{\beta}} = \theta_{\bar{\beta}}^{\bar{\gamma}} \otimes Z_{\bar{\gamma}}, \quad \nabla T = 0,$$

where θ_β^γ are the 1-forms uniquely determined by the following equations:

$$(2.2) \quad \begin{aligned} d\theta^\beta &= \theta^\gamma \wedge \theta_\gamma^\beta + \theta \wedge \tau^\beta, \\ 0 &= \tau_\beta \wedge \theta^\beta, \\ 0 &= \theta_\beta^\gamma + \theta_{\bar{\gamma}}^{\bar{\beta}}, \end{aligned}$$

We can write (by Cartan lemma) $\tau_\beta = A_{\beta\gamma}\theta^\gamma$ with $A_{\beta\gamma} = A_{\gamma\beta}$. The curvature of the Tanaka-Webster connection, expressed in terms of the coframe $\{\theta = \theta^0, \theta^\beta, \theta^{\bar{\beta}}\}$, is

$$\begin{aligned} \Pi_\beta^\gamma &= \overline{\Pi_{\bar{\beta}}^{\bar{\gamma}}} = d\theta_\beta^\gamma - \theta_\beta^\sigma \wedge \theta_\sigma^\gamma, \\ \Pi_0^\beta &= \Pi_\beta^0 = \Pi_0^{\bar{\beta}} = \Pi_{\bar{\beta}}^0 = \Pi_0^0 = 0. \end{aligned}$$

Webster showed that Π_β^γ can be written

$$\Pi_\beta^\gamma = R_{\beta}{}^\gamma{}_{\rho\bar{\sigma}}\theta^\rho \wedge \theta^{\bar{\sigma}} + W_{\beta}{}^\gamma{}_{\rho}\theta^\rho \wedge \theta - W^\gamma{}_{\beta\bar{\rho}}\theta^{\bar{\rho}} \wedge \theta + i\theta_\beta \wedge \tau^\gamma - i\tau_\beta \wedge \theta^\gamma$$

where the coefficients satisfy

$$R_{\beta\bar{\gamma}\rho\bar{\sigma}} = \overline{R_{\gamma\bar{\beta}\sigma\bar{\rho}}} = R_{\bar{\gamma}\beta\bar{\sigma}\rho} = R_{\rho\bar{\gamma}\beta\bar{\sigma}}, \quad W_{\beta\bar{\gamma}\rho} = W_{\rho\bar{\gamma}\beta}.$$

We will denote components of covariant derivatives with indices preceded by comma; thus write $A_{\rho\beta,\gamma}$. The indices $\{0, \beta, \bar{\beta}\}$ indicate derivatives with respect to $\{T, Z_\beta, Z_{\bar{\beta}}\}$. For derivatives of a scalar function, we will often omit the comma, for instance, $u_\beta = Z_\beta u$, $u_{\gamma\bar{\beta}} = Z_{\bar{\beta}} Z_\gamma u - \theta_{\gamma\rho}(Z_{\bar{\beta}})Z_\rho u$, $u_0 = Tu$ for a smooth function u .

For a real function u , the subgradient ∇_b is defined by $\nabla_b u \in \xi$ and $\langle Z, \nabla_b u \rangle = du(Z)$ for all vector fields Z tangent to contact plane. Locally $\nabla_b u = u^\beta Z_\beta + u^{\bar{\beta}} Z_{\bar{\beta}}$. We can use the connection to define the subhessian as the complex linear map

$$(\nabla^H)^2 u : T_{1,0} \oplus T_{0,1} \rightarrow T_{1,0} \oplus T_{0,1} \quad \text{by} \quad (\nabla^H)^2 u(Z) = \nabla_Z \nabla_b u.$$

In particular,

$$|\nabla_b u|^2 = 2 \sum_\beta u_\beta u^\beta, \quad |\nabla_b^2 u|^2 = 2 \sum_{\beta,\gamma} (u_{\beta\gamma} u^{\beta\gamma} + u_{\beta\bar{\gamma}} u^{\beta\bar{\gamma}}).$$

Also the sublaplacian is defined by

$$\Delta_b u = \text{Tr}((\nabla^H)^2 u) = \sum_\beta (u_\beta^\beta + u_{\bar{\beta}}^{\bar{\beta}}).$$

The pseudohermitian Ricci tensor and the torsion tensor on $T_{1,0}$ are defined by

$$\begin{aligned} Ric(X, Y) &= R_{\gamma\bar{\beta}} X^\gamma Y^{\bar{\beta}} \\ Tor(X, Y) &= i \sum_{\gamma,\beta} (A_{\bar{\gamma}\bar{\beta}} X^{\bar{\gamma}} Y^{\bar{\beta}} - A_{\gamma\beta} X^\gamma Y^\beta), \end{aligned}$$

where $X = X^\gamma Z_\gamma$, $Y = Y^\beta Z_\beta$.

3. THE CR REILLY'S FORMULA

Let M be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . We write $\theta_\gamma^\beta = \omega_\gamma^\beta + i\tilde{\omega}_\gamma^\beta$ with $\omega_\gamma^\beta = \text{Re}(\theta_\gamma^\beta)$, $\tilde{\omega}_\gamma^\beta = \text{Im}(\theta_\gamma^\beta)$ and $Z_\beta = \frac{1}{2}(e_\beta - ie_{n+\beta})$ for real vectors e_β , $e_{n+\beta}$, $\beta = 1, \dots, n$. It follows that $e_{n+\beta} = Je_\beta$. Let $e^\beta = \text{Re}(\theta^\beta)$, $e^{n+\beta} = \text{Im}(\theta^\beta)$, $\beta = 1, \dots, n$. Then

$\{\theta, e^\beta, e^{n+\beta}\}$ is dual to $\{T, e_\beta, e_{n+\beta}\}$. Now in view of (2.1) and (2.2), we have the following real version of structure equations:

$$\begin{cases} d\theta = 2 \sum_\beta e^\beta \wedge e^{n+\beta}, \\ \nabla e_\gamma = \omega_\gamma^\beta \otimes e_\beta + \tilde{\omega}_\gamma^\beta \otimes e_{n+\beta}, \quad \nabla e_{n+\gamma} = \omega_\gamma^\beta \otimes e_{n+\beta} - \tilde{\omega}_\gamma^\beta \otimes e_\beta, \\ de^\gamma = e^\beta \wedge \omega_\beta^\gamma - e^{n+\beta} \wedge \tilde{\omega}_\beta^\gamma \bmod \theta; \quad de^{n+\gamma} = e^\beta \wedge \tilde{\omega}_\beta^\gamma + e^{n+\beta} \wedge \omega_\beta^\gamma \bmod \theta. \end{cases}$$

Let Σ be a surface contained in M . The singular set S_Σ consists of those points where ξ coincides with the tangent bundle $T\Sigma$ of Σ . It is easy to see that S_Σ is a closed set. On ξ , we can associate a natural metric $\langle \cdot, \cdot \rangle_G = \frac{1}{2}d\theta(\cdot, J\cdot)$ called the Levi metric. For a vector $v \in \xi$, we define the length of v by $|v|_G^2 = \langle v, v \rangle_G$. With respect to the Levi metric, we can take unit vector fields $e_1, \dots, e_{2n-1} \in \xi \cap T\Sigma$ on $\Sigma \setminus S_\Sigma$, called the characteristic fields and $e_{2n} = Je_n$, called the Legendrian normal. The p (pseudohermitian)-mean curvature $H_{p.h}$ on $\Sigma \setminus S_\Sigma$ is defined by

$$H_{p.h} = - \sum_{j=1}^{2n-1} \langle \nabla_{e_j} e_{2n}, e_j \rangle.$$

For e_1, \dots, e_{2n-1} being characteristic fields, we have the p -area element

$$d\Sigma_p = \theta \wedge e^1 \wedge e^{n+1} \wedge \dots \wedge e^{n-1} \wedge e^{2n-1} \wedge e^n$$

on Σ and all surface integrals over Σ are with respect to this $2n$ -form $d\Sigma_p$. Note that $d\Sigma_p$ continuously extends over the singular set S_Σ and vanishes on S_Σ .

We also write $\varphi_{e_j} = e_j \varphi$ and $\nabla_b \varphi = \frac{1}{2}(\varphi_{e_\beta} e_\beta + \varphi_{e_{n+\beta}} e_{n+\beta})$. Moreover, $\varphi_{e_j e_k} = e_k e_j \varphi - \nabla_{e_k} e_j \varphi$ and $\Delta_b \varphi = \frac{1}{2} \sum_\beta (\varphi_{e_\beta e_\beta} + \varphi_{e_{n+\beta} e_{n+\beta}})$. Next we define the subdivergence operator $\text{div}_b(\cdot)$ by $\text{div}_b(W) = W^\beta, \beta + W^{\bar{\beta}}, \bar{\beta}$ for all vector fields $W = W^\beta Z_\beta + W^{\bar{\beta}} Z_{\bar{\beta}}$ and its real version is $\text{div}_b(W) = \varphi_{\beta, e_\beta} + \psi_{n+\beta, e_{n+\beta}}$ for $W = \varphi_\beta e_\beta + \psi_{n+\beta} e_{n+\beta}$. We define the tangential subgradient ∇_b^t of a function φ by $\nabla_b^t \varphi = \nabla_b \varphi - \langle \nabla_b \varphi, e_{2n} \rangle_G e_{2n}$ and the tangent sublaplacian Δ_b^t of φ by $\Delta_b^t \varphi = \frac{1}{2} \sum_{j=1}^{2n-1} [(e_j)^2 \varphi - (\nabla_{e_j} e_j)^t \varphi]$, where $(\nabla_{e_j} e_j)^t$ is the tangential part of $\nabla_{e_j} e_j$.

We first recall the following CR Bochner formula.

Lemma 3.1. *Let (M, J, θ) be a pseudohermitian $(2n+1)$ -manifold. For a real function φ , we have*

$$(3.1) \quad \begin{aligned} \frac{1}{2} \Delta_b |\nabla_b \varphi|^2 &= |\nabla_b^2 \varphi|^2 + \langle \nabla_b \varphi, \nabla_b \Delta_b \varphi \rangle \\ &\quad + [2\text{Ric} - (n-2)\text{Tor}]((\nabla_b \varphi)_C, (\nabla_b \varphi)_C) + 2\langle J \nabla_b \varphi, \nabla_b \varphi_0 \rangle, \end{aligned}$$

where $(\nabla_b \varphi)_C = \varphi^\beta Z_\beta$ is the corresponding complex $(1, 0)$ -vector field of $\nabla_b \varphi$.

The proof of the above formula follows from the Bochner formula (Lemma 3 in [Gr]) derived by A. Greenleaf and using the commutation relation (see Lemma 2.2 in [CC1])

$$i \sum_{\beta} (\varphi_{\beta} \varphi_{\bar{\beta}0} - \varphi_{\bar{\beta}} \varphi_{\beta0}) = i \sum_{\beta} (\varphi_{\beta} \varphi_{0\bar{\beta}} - \varphi_{\bar{\beta}} \varphi_{0\beta}) - \text{Tor}((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}).$$

From [CC1], we can relate $\langle J\nabla_b \varphi, \nabla_b \varphi_0 \rangle$ with $\langle \nabla_b \varphi, \nabla_b \Delta_b \varphi \rangle$ by

$$(3.2) \quad \langle J\nabla_b \varphi, \nabla_b \varphi_0 \rangle = \frac{1}{n} \langle \nabla_b \varphi, \nabla_b \Delta_b \varphi \rangle - 2\text{Tor}((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}) - \frac{2}{n} \langle P\varphi + \bar{P}\varphi, d_b \varphi \rangle.$$

For the proof of Reilly's formula, we first need a series of formulae. In particular, one derives the following CR version of divergence theorem and Green's identity for a compact pseudohermitian $(2n+1)$ -manifold M with boundary Σ . Note that $d\Sigma_p$ vanishes on S_{Σ} .

Lemma 3.2. *(Divergence Theorem) Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . For a real function φ , we have*

$$(3.3) \quad \int_M \Delta_b \varphi d\mu = \int_M \text{div}_b(\nabla_b \varphi) d\mu = \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_{2n}} d\Sigma_p = C_n \int_{\Sigma} \langle \nabla_b \varphi, e_{2n} \rangle_G d\Sigma_p,$$

$$(3.4) \quad \int_M \varphi \varphi_{00} d\mu + \int_M \varphi_0^2 d\mu = -C_n \int_{\Sigma} \alpha \varphi \varphi_0 d\Sigma_p.$$

Here $d\Sigma_p = \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^{n-1} \wedge e^{2n-1} \wedge e^n$ is the p -area element of Σ and $C_n = 2^n n!$.

Proof. By the Stoke's theorem, we have

$$\begin{aligned} \int_M \Delta_b \varphi d\mu &= \frac{1}{2} \int_M \sum_{\beta} (\varphi_{e_{\beta} e_{\beta}} + \varphi_{e_{n+\beta} e_{n+\beta}}) 2^n n! \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^n \wedge e^{2n} \\ &= 2^{n-1} n! \int_M \sum_{\beta} d[-\varphi_{e_{\beta}} \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge \widehat{e^{\beta}} \wedge e^{n+\beta} \wedge \cdots \wedge e^n \wedge e^{2n}] \\ &\quad + \varphi_{e_{n+\beta}} \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^{\beta} \wedge \widehat{e^{n+\beta}} \wedge \cdots \wedge e^n \wedge e^{2n}] \\ &= 2^{n-1} n! \int_{\Sigma} \varphi_{e_{2n}} \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^{n-1} \wedge e^{2n-1} \wedge e^n \\ &= C_n \int_{\Sigma} \langle \nabla_b \varphi, e_{2n} \rangle_G d\Sigma_p. \end{aligned}$$

Here we used $d\mu = \theta \wedge (d\theta)^n = C_n \theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^n \wedge e^{2n}$ and the fact that the $2n$ -forms $\theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge \widehat{e^{\beta}} \wedge e^{n+\beta} \wedge \cdots \wedge e^n \wedge e^{2n}$ vanish on S_{Σ} for $\beta = 1, \dots, n$ and so are $\theta \wedge e^1 \wedge e^{n+1} \wedge \cdots \wedge e^{\beta} \wedge \widehat{e^{n+\beta}} \wedge \cdots \wedge e^n \wedge e^{2n}$ for $\beta = 1, \dots, n-1$, since e_j are tangent along Σ for $j = 1, \dots, 2n-1$.

The second equation follows easily from Stoke's theorem as above

$$\begin{aligned} \int_M \varphi \varphi_{00} d\mu + \int_M \varphi_0^2 d\mu &= C_n \int_M d(\varphi \varphi_0 e^1 \wedge e^{n+1} \wedge \cdots \wedge e^n \wedge e^{2n}) \\ &= C_n \int_{\Sigma} \varphi \varphi_0 e^1 \wedge e^{n+1} \wedge \cdots \wedge e^n \wedge e^{2n} \end{aligned}$$

and the help of the identity $e^{2n} \wedge e^n = \alpha \theta \wedge e^n$ on $\Sigma \setminus S_{\Sigma}$. \square

Corollary 3.1. (*Green's identity*) Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . For real functions φ and ψ ,

$$(3.5) \quad \int_M \psi \Delta_b \varphi d\mu + \int_M \langle \nabla_b \varphi, \nabla_b \psi \rangle d\mu = \frac{1}{2} C_n \int_{\Sigma} \psi \varphi_{e_{2n}} d\Sigma_p.$$

Proof. It is easy to check that $\text{div}_b(\psi \nabla_b \varphi) = \psi \Delta_b \varphi + \langle \nabla_b \varphi, \nabla_b \psi \rangle$ and then the result follows from the CR version of divergence theorem. \square

Lemma 3.3. Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . For any real smooth function φ ,

$$(3.6) \quad \int_M \langle J \nabla_b \varphi, \nabla_b \varphi_0 \rangle d\mu + n \int_M \varphi_0^2 d\mu = \frac{1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p.$$

Proof. Since $\text{div}_b((J \nabla_b \varphi) \varphi_0) = \langle J \nabla_b \varphi, \nabla_b \varphi_0 \rangle + n \varphi_0^2$ and by the divergence theorem (3.3), we have

$$\begin{aligned} & \int_M \langle J \nabla_b \varphi, \nabla_b \varphi_0 \rangle d\mu + n \int_M \varphi_0^2 d\mu \\ &= \int_M \text{div}_b((J \nabla_b \varphi) \varphi_0) d\mu = C_n \int_{\Sigma} \langle (J \nabla_b \varphi) \varphi_0, e_{2n} \rangle_G d\Sigma_p = \frac{1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p. \end{aligned}$$

\square

Lemma 3.4. Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . For any real smooth function φ ,

$$(3.7) \quad \int_M \langle P\varphi + \bar{P}\varphi, d_b\varphi \rangle d\mu + \frac{1}{4} \int_M (P_0\varphi) \varphi d\mu = \frac{1}{2} i C_n \int_{\Sigma} \varphi (P_n\varphi - P_{\bar{n}}\varphi) d\Sigma_p.$$

Proof. It can be easily checked that

$$\text{div}_b \left((\varphi P^{\beta} \varphi) Z_{\beta} + (\varphi P^{\bar{\beta}} \varphi) Z_{\bar{\beta}} \right) = \langle P\varphi + \bar{P}\varphi, d_b\varphi \rangle + \frac{1}{4} \varphi P_0 \varphi.$$

We then have by the divergence theorem (3.3)

$$\begin{aligned} & \int_M \langle P\varphi + \bar{P}\varphi, d_b\varphi \rangle d\mu + \frac{1}{4} \int_M (P_0\varphi) \varphi d\mu \\ &= C_n \int_{\Sigma} \left\langle (\varphi P^{\beta} \varphi) Z_{\beta} + (\varphi P^{\bar{\beta}} \varphi) Z_{\bar{\beta}}, e_{2n} \right\rangle_G d\Sigma_p = \frac{1}{2} i C_n \int_{\Sigma} \varphi (P_n\varphi - P_{\bar{n}}\varphi) d\Sigma_p. \end{aligned}$$

\square

Lemma 3.5. Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with boundary Σ . For real-valued functions φ on Σ ,

$$(3.8) \quad \int_{\Sigma} (\varphi_{e_n} + 2\alpha\varphi) d\Sigma_p = 0;$$

$$(3.9) \quad \int_{\Sigma} [\varphi_{\bar{\beta}} + (\sum_{\gamma \neq n} \theta_{\bar{\beta}}^{\bar{\gamma}}(Z_{\bar{\gamma}}) + \frac{1}{2} \theta_{\bar{\beta}}^{\bar{n}}(e_n)) \varphi] d\Sigma_p = 0 \text{ for any } \beta \neq n;$$

$$(3.10) \quad \int_{\Sigma} [\varphi_0 + \alpha \varphi_{e_{2n}} - (\alpha \tilde{\omega}_n^n(e_n) - \operatorname{Re} A_{\bar{n}\bar{n}}) \varphi] d\Sigma_p = 0.$$

Proof. By the Stoke's theorem, we have

$$\begin{aligned} \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_n} d\Sigma_p &= \int_{\Sigma} \varphi_{e_n} \theta \wedge (d\theta)^{n-1} \wedge e^n \\ &= - \int_{\Sigma} d\varphi \wedge \theta \wedge (d\theta)^{n-1} + \int_{\Sigma} \varphi_{e_{2n}} e^{2n} \wedge \theta \wedge (d\theta)^{n-1} \\ &= - \int_{\Sigma} d(\varphi \theta \wedge (d\theta)^{n-1}) + \int_{\Sigma} \varphi d\theta \wedge (d\theta)^{n-1} \\ &= \int_{\Sigma} 2\varphi e^n \wedge e^{2n} \wedge (d\theta)^{n-1} = - \int_{\Sigma} 2\alpha \varphi \theta \wedge e^n \wedge (d\theta)^{n-1} \\ &= -C_n \int_{\Sigma} \alpha \varphi d\Sigma_p, \end{aligned}$$

where we used the identities $\theta \wedge (d\theta)^{n-1} \wedge e^{2n} = 0$ on Σ since e_n is tangent along Σ , $d\theta = 2 \sum_{\beta=1}^n e^{\beta} \wedge e^{n+\beta}$ and $e^{2n} \wedge e^n = \alpha \theta \wedge e^n$ on $\Sigma \setminus S_{\Sigma}$.

For the second equation, we compute

$$\begin{aligned} \int_{\Sigma} \varphi_{\bar{\beta}} \theta \wedge (d\theta)^{n-1} \wedge e^n &= \int_{\Sigma} \varphi_{\bar{\beta}} \theta \wedge \theta^{\beta} \wedge \theta^{\bar{\beta}} \wedge (\sum_{j=1}^{n-1} \wedge_{j \neq \beta} \theta^j \wedge \theta^{\bar{j}}) \wedge e^n \\ &= \int_{\Sigma} d\varphi \wedge \theta \wedge \theta^{\beta} \wedge (\sum_{j=1}^{n-1} \wedge_{j \neq \beta} \theta^j \wedge \theta^{\bar{j}}) \wedge e^n = - \int_{\Sigma} \varphi d[\theta \wedge \theta^{\beta} \wedge ((d\theta)^{n-2}) \wedge e^n] \\ &= \int_{\Sigma} \varphi [\theta \wedge d\theta^{\beta} \wedge ((d\theta)^{n-2}) \wedge e^n] - \int_{\Sigma} \varphi [\theta \wedge \theta^{\beta} \wedge ((d\theta)^{n-2}) \wedge de^n] \\ &= \int_{\Sigma} \varphi [\theta \wedge (\theta^{\gamma} \wedge \theta_{\gamma}^{\beta} + \theta \wedge \tau^{\beta}) \wedge (\sum_{j=1}^{n-1} \wedge_{j \neq \gamma} \theta^j \wedge \theta^{\bar{j}}) \wedge e^n] \\ &\quad - \int_{\Sigma} \frac{1}{2} \varphi [\theta \wedge \theta^{\beta} \wedge (\sum_{j=1}^{n-1} \wedge_{j \neq \beta} \theta^j \wedge \theta^{\bar{j}}) \wedge (\sum_{\gamma \neq n} \theta_{\bar{\gamma}}^{\bar{n}}(e_n) \theta^{\bar{\gamma}}) \wedge e^n] \\ &= \int_{\Sigma} \left(\sum_{\gamma \neq n} \theta_{\gamma}^{\beta} (Z_{\bar{\gamma}}) - \frac{1}{2} \theta_{\bar{\beta}}^{\bar{n}}(e_n) \right) \varphi \theta \wedge \theta^{\beta} \wedge \theta^{\bar{\beta}} \wedge (\sum_{j=1}^{n-1} \wedge_{j \neq \beta} \theta^j \wedge \theta^{\bar{j}}) \wedge e^n \\ &= - \int_{\Sigma} \left(\sum_{\gamma \neq n} \theta_{\bar{\beta}}^{\bar{\gamma}} (Z_{\bar{\gamma}}) + \frac{1}{2} \theta_{\bar{\beta}}^{\bar{n}}(e_n) \right) \varphi \theta \wedge (d\theta)^{n-1} \wedge e^n, \end{aligned}$$

where we used $de^n = \frac{1}{2} (\theta^{\gamma} \wedge \theta_{\gamma}^n + \theta^{\bar{\gamma}} \wedge \theta_{\bar{\gamma}}^{\bar{n}}) = \frac{1}{2} \sum_{\gamma \neq n} \theta_{\bar{\gamma}}^{\bar{n}}(e_n) \theta^{\bar{\gamma}} \wedge e^n \bmod \theta, e^{2n}$ on Σ .

The same compute for the third equation yields

$$\begin{aligned} &\int_{\Sigma} \varphi_0 \theta \wedge (d\theta)^{n-1} \wedge e^n \\ &= \int_{\Sigma} d\varphi \wedge (d\theta)^{n-1} \wedge e^n - \int_{\Sigma} \varphi_{e_{2n}} e^{2n} \wedge e^n \wedge (d\theta)^{n-1} \\ &= \int_{\Sigma} d(\varphi (d\theta)^{n-1} \wedge e^n) - \int_{\Sigma} \varphi (d\theta)^{n-1} \wedge de^n - \int_{\Sigma} \alpha \varphi_{e_{2n}} \theta \wedge (d\theta)^{n-1} \wedge e^n \\ &= \int_{\Sigma} \varphi (d\theta)^{n-1} \wedge [\tilde{\omega}_n^n(e_n) e^{2n} \wedge e^n - \operatorname{Re} A_{\bar{n}\bar{n}} \theta \wedge e^n] - \int_{\Sigma} \alpha \varphi_{e_{2n}} \theta \wedge (d\theta)^{n-1} \wedge e^n \\ &= \int_{\Sigma} [(\alpha \tilde{\omega}_n^n(e_n) - \operatorname{Re} A_{\bar{n}\bar{n}}) \varphi - \alpha \varphi_{e_{2n}}] \theta \wedge (d\theta)^{n-1} \wedge e^n. \end{aligned}$$

□

Lemma 3.6. *Let (M, J, θ) be a compact pseudohermitian $(2n + 1)$ -manifold with boundary Σ . For real-valued functions φ and ψ on Σ , we have*

$$(3.11) \quad \int_{\Sigma} \psi(\Delta_b^t + \alpha e_n) \varphi d\Sigma_p = \int_{\Sigma} \varphi(\Delta_b^t + \alpha e_n) \psi d\Sigma_p.$$

This Lemma implies that $\Delta_b^t + \alpha e_n$ is a self-adjoint operator with respect to the p -area element $d\Sigma_p$ on Σ .

The Proof of Theorem 1.1:

Proof. By integrating the CR version of Bochner formula (3.1), we have

$$\begin{aligned} \frac{1}{2} \int_M \Delta_b |\nabla_b \varphi|^2 d\mu &= \int_M |\nabla_b^2 \varphi|^2 d\mu + \int_M \langle \nabla_b \varphi, \nabla_b \Delta_b \varphi \rangle d\mu \\ &\quad + \int_M [2Ric - (n-2)Tor]((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}) d\mu \\ &\quad + 2 \int_M \langle J \nabla_b \varphi, \nabla_b \varphi_0 \rangle d\mu. \end{aligned}$$

Note that

$$\sum_{\beta, \gamma} |\varphi_{\beta\bar{\gamma}}|^2 = \sum_{\beta, \gamma} |\varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\bar{\gamma}}|^2 + \frac{1}{4n} (\Delta_b \varphi)^2 + \frac{n}{4} \varphi_0^2.$$

It follows from the CR Green's identity (3.5) with $\psi = \Delta_b \varphi$ and (3.6), that

$$\begin{aligned} &\frac{1}{2} \int_M \Delta_b |\nabla_b \varphi|^2 d\mu \\ (3.12) \quad &= 2 \int_M \sum_{\beta, \gamma} |\varphi_{\beta\gamma}|^2 d\mu + 2 \int_M \sum_{\gamma, \beta} |\varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\bar{\gamma}}|^2 d\mu \\ &\quad - \frac{3n}{2} \int_M \varphi_0^2 d\mu + C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p + \frac{1}{2} C_n \int_{\Sigma} (\Delta_b \varphi) \varphi_{e_{2n}} d\Sigma_p \\ &\quad - \frac{2n-1}{2n} \int_M (\Delta_b \varphi)^2 d\mu + \int_M [2Ric - (n-2)Tor]((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}). \end{aligned}$$

By combining (3.6), (3.2), (3.5) and (3.7), we have

$$\begin{aligned} n \int_M \varphi_0^2 d\mu &= \frac{1}{n} \int_M (\Delta_b \varphi)^2 d\mu - \frac{1}{2n} C_n \int_{\Sigma} (\Delta_b \varphi) \varphi_{e_{2n}} d\Sigma_p \\ (3.13) \quad &\quad - \frac{1}{2n} \int_M \varphi P_0 \varphi d\mu + \frac{1}{n} i C_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\ &\quad + \frac{1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p + 2 \int_M Tor((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}) d\mu. \end{aligned}$$

Also applying the divergence Theorem to the equation

$$(B^{\beta\bar{\gamma}} \varphi)(B_{\beta\bar{\gamma}} \varphi) = (\varphi^{\beta} B_{\beta\bar{\gamma}} \varphi)^{\bar{\gamma}} - \frac{n-1}{n} (\varphi P_{\beta} \varphi)^{\beta} + \frac{n-1}{8n} \varphi P_0 \varphi$$

with $B_{\beta\bar{\gamma}} \varphi = \varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\bar{\gamma}}$, we obtain

$$\begin{aligned} &\int_M \sum_{\beta, \gamma} |\varphi_{\beta\gamma} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\gamma}|^2 d\mu \\ (3.14) \quad &= \frac{n-1}{8n} \int_M \varphi P_0 \varphi d\mu - \frac{n-1}{4n} i C_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\ &\quad + \frac{1}{4} i C_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p. \end{aligned}$$

Here

$$\begin{aligned}
& i(\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) \\
= & \frac{1}{4} \sum_{\beta \neq n} [\varphi_{e_{n+\beta}} (\varphi_{e_\beta e_n} + \varphi_{e_{n+\beta} e_{2n}}) + \varphi_{e_\beta} (\varphi_{e_\beta e_{2n}} - \varphi_{e_{n+\beta} e_n})] \\
& + \frac{1}{4} \varphi_{e_{2n}} [(\varphi_{e_n e_n} + \varphi_{e_{2n} e_{2n}}) - \frac{2}{n} \Delta_b \varphi].
\end{aligned}$$

Substituting these into the right hand side of (3.12), we get

$$\begin{aligned}
& \frac{1}{2} \int_M \Delta_b |\nabla_b \varphi|^2 d\mu \\
= & 2 \int_M \sum_{\beta, \gamma} |\varphi_{\beta\gamma}|^2 d\mu - \frac{n+1}{n} \int_M (\Delta_b \varphi)^2 d\mu \\
(3.15) \quad & + \frac{n+2}{4n} \int_M \varphi P_0 \varphi d\mu - \frac{n+2}{2n} i C_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\
& + \int_M [2Ric - (n+1)Tor]((\nabla_b \varphi)_{\mathbb{C}}, (\nabla_b \varphi)_{\mathbb{C}}) d\mu + \frac{1}{4} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
& + \frac{1}{2} i C_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p + \frac{2n+3}{4n} C_n \int_{\Sigma} (\Delta_b \varphi) \varphi_{e_{2n}} d\Sigma_p.
\end{aligned}$$

On the other hand, the divergence theorem (3.3) implies that

$$\begin{aligned}
\frac{1}{2} \int_M \Delta_b |\nabla_b \varphi|^2 d\mu &= \frac{1}{4} C_n \int_{\Sigma} (|\nabla_b \varphi|^2)_{e_{2n}} d\Sigma_p \\
&= \frac{1}{4} C_n \int_{\Sigma} \sum_{\beta \neq n} \left(\varphi_{e_\beta} \varphi_{e_\beta e_{2n}} + \varphi_{e_{n+\beta}} \varphi_{e_{n+\beta} e_{2n}} \right) d\Sigma_p \\
&\quad + \frac{1}{4} C_n \int_{\Sigma} (\varphi_{e_n} \varphi_{e_n e_{2n}} + \varphi_{e_{2n}} \varphi_{e_{2n} e_{2n}}) d\Sigma_p.
\end{aligned}$$

Substituting the commutation relations

$$\begin{aligned}
\varphi_{e_\beta e_{n+\gamma}} &= \varphi_{e_{n+\gamma} e_\beta}, \quad \varphi_{e_{n+\beta} e_{n+\gamma}} = \varphi_{e_{n+\gamma} e_{n+\beta}}, \quad \text{for all } \beta \neq \gamma, \\
\varphi_{e_n e_{2n}} &= \varphi_{e_{2n} e_n} + 2\varphi_0,
\end{aligned}$$

and

$$\begin{aligned}
(3.16) \quad & \sum_{\beta \neq n} 2(\varphi_{\beta\bar{\beta}} + \varphi_{\bar{\beta}\beta}) + \varphi_{e_n e_n} = \sum_{j=1}^{2n-1} \varphi_{e_j e_j} = 2\Delta_b^t \varphi + H_{p.h} \varphi_{e_{2n}} \\
& \varphi_{e_{2n} e_{2n}} = 2\Delta_b \varphi - \sum_{j=1}^{2n-1} \varphi_{e_j e_j}
\end{aligned}$$

into the above equation, also integrating by parts from (3.8) and (3.9) yields

$$\begin{aligned}
& \frac{1}{2} \int_M \Delta_b |\nabla_b \varphi|^2 d\mu \\
= & \frac{1}{4} C_n \int_{\Sigma} \sum_{\beta \neq n} (\varphi_{e_{\beta}} \varphi_{e_{2n} e_{\beta}} + \varphi_{e_{n+\beta}} \varphi_{e_{2n} e_{n+\beta}}) d\Sigma_p \\
& + \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_n} (\varphi_{e_{2n} e_n} + 2\varphi_0) d\Sigma_p + \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} \varphi_{e_{2n} e_{2n}} d\Sigma_p \\
= & \frac{1}{4} C_n \int_{\Sigma} [\sum_{\beta \neq n} 2(\varphi_{\bar{\beta}} \varphi_{e_{2n} Z_{\beta}} + \varphi_{\beta} \varphi_{e_{2n} Z_{\bar{\beta}}}) + \varphi_{e_n} \varphi_{e_{2n} e_n}] d\Sigma_p \\
& + \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_n} \varphi_0 d\Sigma_p + \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} \varphi_{e_{2n} e_{2n}} d\Sigma_p \\
= & -\frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} [\sum_{\beta \neq n} 2(\varphi_{\beta \bar{\beta}} + \varphi_{\bar{\beta} \beta}) + \varphi_{e_n e_n}] d\Sigma_p \\
& - \frac{1}{2} C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p - \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_n} (\nabla_{e_n} e_{2n}) \varphi d\Sigma_p \\
& + \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_n} \varphi_0 d\Sigma_p + \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} \varphi_{e_{2n} e_{2n}} d\Sigma_p \\
& + \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} [\sum_{\beta \neq n} (\theta_n^{\beta}(e_n) \varphi_{\beta} + \theta_{\bar{n}}^{\bar{\beta}}(e_n) \varphi_{\bar{\beta}}) - (\nabla_{e_n} e_n)^t \varphi] d\Sigma_p \\
& + \frac{1}{2} C_n \int_{\Sigma} \sum_{\beta, \gamma \neq n} i(\theta_{n \bar{\gamma}}(Z_{\beta}) \varphi_{\bar{\gamma}} - \theta_{n \gamma}(Z_{\beta}) \varphi_{\gamma}) \varphi_{\bar{\beta}} d\Sigma_p \\
& - \frac{1}{2} C_n \int_{\Sigma} \sum_{\beta, \gamma \neq n} i(\theta_{n \gamma}(Z_{\bar{\beta}}) \varphi_{\gamma} - \theta_{\bar{n} \bar{\gamma}}(Z_{\bar{\beta}}) \varphi_{\gamma}) \varphi_{\beta} d\Sigma_p \\
= & \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_{2n}} (\Delta_b \varphi - 2\Delta_b^t \varphi) d\Sigma_p - \frac{1}{4} C_n \int_{\Sigma} H_{p.h} \varphi_{e_{2n}}^2 d\Sigma_p \\
& - \frac{1}{2} C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p + \frac{1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
& - \frac{1}{4} C_n \int_{\Sigma} \sum_{j,k=1}^{2n-1} \langle \nabla_{e_j} e_{2n}, e_k \rangle \varphi_{e_j} \varphi_{e_k} d\Sigma_p.
\end{aligned} \tag{3.17}$$

Here we use $\varphi_{\beta \bar{\beta}} = Z_{\bar{\beta}} Z_{\beta} \varphi - \sum_{\gamma \neq n} \theta_{\beta}^{\gamma}(Z_{\bar{\beta}}) \varphi_{\gamma}$ for each $\beta \neq n$ and $\varphi_{e_n e_n} = e_n^2 \varphi - (\nabla_{e_n} e_n)^t \varphi$ on Σ , the fact that (3.16) holds only on $\Sigma \setminus S_{\Sigma}$. However, $d\Sigma_p$ can be continuously extends over the singular set S_{Σ} and vanishes on S_{Σ} . Finally, by combining the equations (3.15) and (3.17), we can then obtain (1.3). This completes the proof of Theorem. \square

4. THE CR FIRST NON-ZERO DIRICHLET EIGENVALUE ESTIMATE

In this section, we derive the first Dirichlet eigenvalue estimate in a compact pseudohermitian $(2n+1)$ -manifold (M, J, θ) with boundary Σ .

Lemma 4.1. *Let (M, J, θ) be a compact pseudohermitian $(2n+1)$ -manifold with the smooth boundary Σ of pseudohermitian mean curvature $H_{p.h}$ for $n \geq 2$. For the first eigenfunction φ of Dirichlet eigenvalue problem (1.9), we have*

$$(4.1) \quad \frac{n-1}{8n} \int_M \varphi P_0 \varphi d\mu = \int_M \sum_{\beta, \gamma} |\varphi_{\beta \bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta \bar{\gamma}}|^2 d\mu + \frac{1}{8} C_n \int_{\Sigma} H_{p.h} \varphi_{e_{2n}}^2 d\Sigma_p$$

which implies

$$(4.2) \quad \int_M \varphi P_0 \varphi d\mu \geq 0$$

if $H_{p,h}$ is nonnegative.

Proof. Since $\varphi = 0$ on Σ and e_j is tangent along Σ for $1 \leq j \leq 2n - 1$, then $\varphi_{e_j} = 0$ for $1 \leq j \leq 2n - 1$ and $\Delta_b^t \varphi = \frac{1}{2} \sum_{j=1}^{2n-1} [e_j^2 \varphi - (\nabla_{e_j} e_j)^t \varphi] = 0$ on Σ . Furthermore, since $\Delta_b \varphi = \lambda_1 \varphi$ on M and $\varphi = 0$ on Σ , then $\Delta_b \varphi = 0$ on Σ .

It follows from (3.8), (3.9) and (3.16) that

$$\begin{aligned}
 & iC_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p \\
 &= iC_n \int_{\Sigma} \sum_{\beta \neq n} (\varphi_{\beta} \varphi_{n\bar{\beta}} - \varphi_{\bar{\beta}} \varphi_{\bar{n}\beta}) d\Sigma_p + C_n \int_{\Sigma} (\varphi_n B_{n\bar{n}} \varphi - \varphi_{\bar{n}} B_{\bar{n}n} \varphi) d\Sigma_p \\
 &= iC_n \int_{\Sigma} \varphi_n [B_{n\bar{n}} \varphi - \sum_{\beta \neq n} (\varphi_{\beta\bar{\beta}} - \frac{1}{2} \theta_n^{\beta} (e_n) \varphi_{\beta})] d\Sigma_p + \text{conjugate} \\
 (4.3) \quad &= iC_n \int_{\Sigma} \varphi_n [2\varphi_{n\bar{n}} - \frac{n+1}{n} \varphi_{\gamma}^{\gamma} + \frac{1}{2} \sum_{\beta \neq n} \theta_n^{\beta} (e_n) \varphi_{\beta}] d\Sigma_p + \text{conjugate} \\
 &= \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_{2n}} [\varphi_{e_n e_n} + (\nabla_{e_n} e_n)^t \varphi + \varphi_{e_{2n} e_{2n}} - \frac{n+1}{n} \Delta_b \varphi] d\Sigma_p \\
 &\quad + \frac{n-1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p + \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_n} [(\nabla_{e_n} e_{2n})^t \varphi + \tilde{\omega}_n^n (e_n) \varphi_{e_n}] d\Sigma_p \\
 &= \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_{2n}} (\frac{n-1}{n} \Delta_b \varphi - 2\Delta_b^t \varphi - H_{p,h} \varphi_{e_{2n}}) d\Sigma_p + \frac{n-1}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
 &\quad - \frac{1}{2} C_n \int_{\Sigma} \varphi_{e_n} \varphi_{e_{2n} e_n} d\Sigma_p + \frac{1}{2} C_n \int_{\Sigma} \tilde{\omega}_n^n (e_n) \varphi_{e_n}^2 d\Sigma_p - C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p,
 \end{aligned}$$

where we used $B_{n\bar{\beta}} \varphi = \varphi_{n\bar{\beta}}$ for $\beta \neq n$, $B_{n\bar{n}} \varphi = \varphi_{n\bar{n}} - \frac{1}{n} \varphi_{\gamma}^{\gamma}$ and

$$\begin{aligned}
 & \int_{\Sigma} [\varphi_{e_{2n}} (\varphi_{e_n e_n} + (\nabla_{e_n} e_n)^t \varphi) + \varphi_{e_n} (\nabla_{e_n} e_{2n})^t \varphi] d\Sigma_p \\
 &= \int_{\Sigma} [\varphi_{e_{2n}} (e_n)^2 \varphi + \varphi_{e_n} (\nabla_{e_n} e_{2n})^t \varphi] d\Sigma_p \\
 &= - \int_{\Sigma} [\varphi_{e_n} (e_n e_{2n} \varphi - (\nabla_{e_n} e_{2n})^t \varphi) + 2\alpha \varphi_{e_n} \varphi_{e_{2n}}] d\Sigma_p \\
 &= - \int_{\Sigma} (\varphi_{e_n} \varphi_{e_{2n} e_n} + 2\alpha \varphi_{e_n} \varphi_{e_{2n}}) d\Sigma_p.
 \end{aligned}$$

Substituting (4.3) into (3.14), we get

$$\begin{aligned}
 \frac{n-1}{8n} \int_M \varphi P_0 \varphi d\mu &= \int_M \sum_{\beta, \gamma} |\varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\bar{\gamma}}|^2 d\mu + \frac{n-1}{4n} iC_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\
 &\quad - \frac{1}{4} iC_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p \\
 &= \int_M \sum_{\beta, \gamma} |\varphi_{\beta\bar{\gamma}} - \frac{1}{n} \varphi_{\sigma}^{\sigma} h_{\beta\bar{\gamma}}|^2 d\mu + \frac{n-1}{4n} iC_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\
 &\quad - \frac{1}{8} C_n \int_{\Sigma} \varphi_{e_{2n}} (\frac{n-1}{n} \Delta_b \varphi - 2\Delta_b^t \varphi - H_{p,h} \varphi_{e_{2n}}) d\Sigma_p - \frac{n-1}{8} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
 &\quad + \frac{1}{8} C_n \int_{\Sigma} \varphi_{e_n} \varphi_{e_{2n} e_n} d\Sigma_p - \frac{1}{8} C_n \int_{\Sigma} \tilde{\omega}_n^n (e_n) \varphi_{e_n}^2 d\Sigma_p + \frac{1}{4} C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p
 \end{aligned}$$

which is the equation (4.1) under the assumptions. \square

Now we are ready to prove Theorem 1.2.

The Proof of Theorem 1.2:

Proof. It follows the CR Reilly formula (1.3) that

$$(4.4) \quad \frac{n+1}{n} \int_M (\Delta_b \varphi)^2 d\mu \geq \frac{n+2}{4n} \int_M \varphi P_0 \varphi d\mu + \int_M [2Ric - (n+1)Tor]((\nabla_b \varphi)_C, (\nabla_b \varphi)_C) d\mu.$$

Since

$$\varphi = 0 \text{ and } \Delta_b \varphi = 0 \text{ on } \Sigma,$$

(1.7) and (4.4) imply

$$\frac{n+1}{n} \int_M (\Delta_b \varphi)^2 d\mu \geq \frac{n+2}{4n} \mu_D^1 \int_M \varphi^2 d\mu + \int_M [2Ric - (n+1)Tor]((\nabla_b \varphi)_C, (\nabla_b \varphi)_C) d\mu.$$

Moreover, by using

$$[2Ric - (n+1)Tor]((\nabla_b \varphi)_C, (\nabla_b \varphi)_C) \geq k |\nabla_b \varphi|^2$$

and

$$\int_M |\nabla_b \varphi|^2 d\mu = \lambda_1 \int_M \varphi^2 d\mu,$$

we obtain

$$\frac{n+1}{n} \lambda_1^2 \int_M \varphi^2 d\mu \geq (k \lambda_1 + \frac{n+2}{4n} \mu_D^1) \int_M \varphi^2 d\mu.$$

Hence

$$\frac{n+1}{n} \lambda_1^2 - k \lambda_1 - \frac{n+2}{4n} \mu_D^1 \geq 0$$

and thus

$$\lambda_1 \geq \frac{nk + \sqrt{n^2 k^2 + (n+1)(n+2)\mu_D^1}}{2(n+1)}.$$

(i) In case for $n = 1$, we have

$$\lambda_1 \geq \frac{k + \sqrt{k^2 + 6\mu_D^1}}{4},$$

for $\mu_D^1 \geq -\frac{k^2}{6}$. In addition if P_0 is nonnegative, we have

$$\lambda_1 \geq \frac{k}{2}.$$

(i) In case for $n \geq 2$, it follows from (4.2) and (4.4) that

$$\frac{n+1}{n} \lambda_1^2 - k \lambda_1 \geq 0$$

and then

$$\lambda_1 \geq \frac{nk}{(n+1)}.$$

□

5. THE FIRST EIGENVALUE ESTIMATE OF EMBEDDED P -MINIMAL HYPERSURFACES

In this section, we study a CR analogue of Yau conjecture [Y] on the first eigenvalue estimate of embedded p -minimal hypersurfaces.

The Proof of Theorem 1.3:

Proof. Since M has vanishing torsion and positive pseudohermitian Ricci curvature, it follows from [CC1] that M has positive Ricci curvature with respect to the Webster metric. Hence its first homology group $H^1(M, \mathbb{R})$ is trivial. By an exact sequence argument, we conclude that Σ divides M into two connected components M_1 and M_2 with $\partial M_1 = \Sigma = \partial M_2$. Let us denote D to be one of two components to be chosen later. If u is the first nonconstant eigenfunction on Σ , satisfying

$$L_\alpha u = -\lambda_1 u.$$

We first let φ be the solution of

$$\Delta_b \varphi = 0 \text{ on } D$$

with the boundary condition

$$\varphi = u \text{ on } \Sigma.$$

If D is a compact pseudohermitian $(2n+1)$ -manifold with the smooth boundary Σ , then P_0 is self-adjoint on the space of all smooth functions with $\Delta_b \varphi = 0$ and $(\Delta_b \varphi)_{e_{2n}} = 0$ on Σ . In fact, it suffices to check that

$$\begin{aligned} \int_D g \Delta_b^2 f d\mu &= - \int_D \langle \nabla_b g, \nabla_b \Delta_b f \rangle d\mu + C_n \int_\Sigma g (\Delta_b f)_{e_{2n}} d\Sigma_p \\ (5.1) \quad &= \int_D \Delta_b f \Delta_b g d\mu - C_n \int_\Sigma g_{e_{2n}} \Delta_b f d\Sigma_p + C_n \int_\Sigma g (\Delta_b f)_{e_{2n}} d\Sigma_p \\ &= \int_D \Delta_b f \Delta_b g d\mu = \int_D f \Delta_b^2 g d\mu \end{aligned}$$

and for $\alpha = 0$

$$\begin{aligned} \int_D g f_{00} d\mu &= - \int_D g_0 f_0 d\mu + 2C_n \int_\Sigma \alpha g f_0 d\Sigma_p \\ (5.2) \quad &= \int_D f g_{00} d\mu - 2C_n \int_\Sigma \alpha f g_0 d\Sigma_p + 2C_n \int_\Sigma \alpha g f_0 d\Sigma_p \\ &= \int_D f g_{00} d\mu. \end{aligned}$$

It follows that if the torsion is vanishing

$$(5.3) \quad \int_D \varphi P_0 \varphi d\mu \geq 0.$$

By applying the CR Reilly formula (1.1), we have

$$\begin{aligned}
0 \geq & k \int_D |\nabla_b \varphi|^2 d\mu - \frac{1}{4} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p - \frac{n+2}{2n} i C_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p \\
(5.4) \quad & + \frac{i}{2} C_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p + \frac{3}{4n} C_n \int_{\Sigma} \varphi_{e_{2n}} \Delta_b \varphi d\Sigma_p \\
& + C_n \int_{\Sigma} \varphi_{e_{2n}} \Delta_b^t \varphi d\Sigma_p + \frac{1}{2} C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p \\
& + \frac{1}{4} C_n \int_{\Sigma} \langle \nabla_{e_i} e_{2n}, e_j \rangle \varphi_{e_i} \varphi_{e_j} d\Sigma_p.
\end{aligned}$$

Now we are going to estimate all terms in RHS of (5.4):

(i) By the CR divergence theorem and $\Delta_b \varphi^2 = 2\varphi \Delta_b \varphi + 2|\nabla_b \varphi|^2 = 2|\nabla_b \varphi|^2$, we have

$$\begin{aligned}
& C_n \int_{\Sigma} \varphi_{e_{2n}} \Delta_b^t \varphi d\Sigma_p \\
(5.5) \quad & = -C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p - \lambda_1 C_n \int_{\Sigma} \varphi \varphi_{e_{2n}} d\Sigma_p \\
& = -C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p - \frac{1}{2} \lambda_1 C_n \int_{\Sigma} (\varphi^2)_{e_{2n}} d\Sigma_p \\
& = -C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p - \lambda_1 \int_D (\Delta_b \varphi^2) d\mu \\
& = -C_n \int_{\Sigma} \alpha \varphi_{e_n} \varphi_{e_{2n}} d\Sigma_p - 2\lambda_1 \int_D |\nabla_b \varphi|^2 d\mu.
\end{aligned}$$

(ii) By the CR Green theorem

$$(5.6) \quad \frac{3}{4n} C_n \int_{\Sigma} \varphi_{e_{2n}} \Delta_b \varphi d\Sigma_p = \frac{3}{4n} \int_D (\Delta_b \varphi)^2 d\mu + \frac{3}{4n} \int_D \langle \nabla_b \Delta_b \varphi, \nabla_b \varphi \rangle d\mu = 0.$$

(iii) The same computation as (4.3) for $\alpha = 0$ and from (3.16)

$$\begin{aligned}
& \frac{i}{2} C_n \int_{\Sigma} (\varphi^{\bar{\beta}} B_{n\bar{\beta}} \varphi - \varphi^{\beta} B_{\bar{n}\beta} \varphi) d\Sigma_p \\
(5.7) \quad & = \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} (\varphi_{e_n e_n} + \frac{n-1}{n} \Delta_b \varphi - 2\Delta_b^t \varphi - H_{p,h} \varphi_{e_{2n}}) d\Sigma_p + \frac{n-1}{4} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
& = \frac{1}{4} C_n \int_{\Sigma} \varphi_{e_{2n}} (\frac{n-1}{n} \Delta_b \varphi - \sum_{j \neq n, 2n} \varphi_{e_j e_j}) d\Sigma_p + \frac{n-1}{4} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p.
\end{aligned}$$

(iv) By straightforward computation, since $A_{\beta\gamma} = 0$

$$i (P_n \varphi - P_{\bar{n}} \varphi) = i \left(\varphi^{\bar{\beta}}_{\bar{n}} - \varphi^{\beta}_{\bar{n}} \right) = \frac{1}{2} [n \varphi_{0e_n} + (\Delta_b \varphi)_{e_{2n}}].$$

From (3.9), (5.7) and $\int_{\Sigma} \varphi (\Delta_b \varphi)_{e_{2n}} d\Sigma_p = 0$ that

$$\begin{aligned}
(5.8) \quad -\frac{n+2}{2n} i C_n \int_{\Sigma} \varphi (P_n \varphi - P_{\bar{n}} \varphi) d\Sigma_p & = -\frac{n+2}{4n} C_n \int_{\Sigma} \varphi [n \varphi_{0e_n} + (\Delta_b \varphi)_{e_{2n}}] d\Sigma_p \\
& = \frac{n+2}{4} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p + \frac{n+2}{2} C_n \int_{\Sigma} \alpha \varphi_0 \varphi d\Sigma_p.
\end{aligned}$$

By combining (5.4), (5.5), (5.6), (5.7) and (5.8) for $\alpha = 0$

$$\begin{aligned}
0 \geq & (k - 2\lambda_1) \int_D |\nabla_b \varphi|^2 d\mu + \frac{n}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma_p \\
& - \frac{1}{4} C_n \int_{\Sigma} \sum_{j \neq n, 2n} \varphi_{e_j e_j} \varphi_{e_{2n}} d\Sigma_p + \frac{1}{4} C_n \int_{\Sigma} \langle \nabla_{e_i} e_{2n}, e_j \rangle \varphi_{e_i} \varphi_{e_j} d\Sigma_p.
\end{aligned}$$

Moreover if $\alpha = 0$, then the p -area element $d\Sigma_p$ is the area form $d\Sigma$ on Σ and

$$(5.9) \quad \begin{aligned} 0 &\geq (k - 2\lambda_1) \int_D |\nabla_b \varphi|^2 d\mu + \frac{n}{2} C_n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma \\ &\quad - \frac{1}{4} C_n \int_{\Sigma} \sum_{j \neq n, 2n} \varphi_{e_j e_j} \varphi_{e_{2n}} d\Sigma + \frac{1}{4} C_n \int_{\Sigma} \langle \nabla_{e_i} e_{2n}, e_j \rangle \varphi_{e_i} \varphi_{e_j} d\Sigma. \end{aligned}$$

Next we observe that T is always tangent to Σ due to $\alpha = 0$. Then $\int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma$ is independent of the extended function φ . If we choose a different component of $M \setminus \Sigma$ to perform this computation, $u_{e_n} u_0$, $\sum_{j \neq n, 2n} u_{e_j e_j} u_{e_{2n}}$ and $\langle \nabla_{e_i} e_{2n}, e_j \rangle u_{e_i} u_{e_j}$ will differ by a sign, hence we may choose a component, say M_1 , so that

$$(5.10) \quad 2n \int_{\Sigma} \varphi_0 \varphi_{e_n} d\Sigma - \int_{\Sigma} \sum_{j \neq n, 2n} \varphi_{e_j e_j} \varphi_{e_{2n}} d\Sigma + \int_{\Sigma} \langle \nabla_{e_i} e_{2n}, e_j \rangle \varphi_{e_i} \varphi_{e_j} d\Sigma \geq 0.$$

By combining (5.9) and (5.10) that we have

$$0 \geq (k - 2\lambda_1) \int_D |\nabla_b \varphi|^2 d\mu$$

with $D = M_1$. This implies

$$0 \geq k - 2\lambda_1$$

and thus

$$\lambda_1 \geq \frac{k}{2}$$

because φ has boundary value u which is nonconstant.

Now if the equality holds for $n = 1$, then

$$W = k.$$

Since $A_{11} = 0$,

$$Q_{11} = 0$$

and then (M, J, θ) is a closed spherical pseudohermitian 3-manifold. On the other hand, it follows from ([CHMY]) that any embedded p -minimal surface in a closed spherical pseudohermitian 3-manifold must have genus less than two. In additional, if M is simply connected, then (M, J, θ) is the standard pseudohermitian 3-sphere. This completes the proof. \square

REFERENCES

- [B] S. Brendle, Embedded Minimal Tori in S^3 and the Lawson Conjecture, *Acta Math.* 11 (2013), 177-190.
- [CCC] S.-C. Chang, J.-H. Cheng and H.-L. Chiu, The fourth-order Q -curvature flow on a CR 3-manifold, *Indiana Univ. Math. J.*, Vol. 56, No. 4 (2007), 1793-1826.
- [CC1] S.-C. Chang and H.-L. Chiu, Nonnegativity of CR Paneitz operator and its application to the CR Obata's Theorem in a pseudohermitian $(2n + 1)$ -manifold, *Journal of Geometric Analysis*, Vol. 19 (2009), 261-287.
- [CC2] S.-C. Chang and H.-L. Chiu, On the Estimate of the First Eigenvalue of a Sublaplacian on a Pseudohermitian 3-Manifold. *Pacific J. Math.*, Vol.232 (2007), 269-282.
- [Ch] H.-L. Chiu, The sharp lower bound for the first positive eigenvalue of the sublaplacian on a pseudohermitian 3-manifold, *Ann. Global Anal. Geom.* 30 (2006), 81-96.
- [CHMY] J.-H. Cheng, J.-F. Hwang, A. Malchiodi, and P. Yang, Minimal surfaces in pseudohermitian geometry and the Bernstein problem in the Heisenberg group, *Annali della Scuola Normale Superiore di Pisa, Classe di Scienze* (5), 4 (2005), 129-177.
- [CW] H. I. Choi and A. N. Wang, A first eigenvalue estimate for minimal hypersurfaces, *J. Diff. Geom.* 18 (1983), 559-562.
- [FK] Y.-W. Fan and T.-J. Kuo, On the estimate of first positive eigenvalue of a sublaplacian in a pseudohermitian manifold. *AJM* Vol. 18, No. 5 (2014), 859–902.
- [GL] C. R. Graham and J. M. Lee, Smooth solutions of degenerate Laplacians on strictly pseudoconvex domains, *Duke Math. J.*, Vol. 57 (1988), 697-720.
- [Gr] A. Greenleaf, The first eigenvalue of a Sublaplacian on a Pseudohermitian manifold, *Comm. Part. Diff. Equ.* 10(2) (1985), no.3 191–217.
- [H] K. Hirachi, Scalar Pseudo-hermitian invariants and the Szegö kernel on 3-dimensional CR manifolds, *Lecture Notes in Pure and Appl. Math.* 143, pp. 67-76, Dekker, 1992.
- [MR] S. Montiel and A. Ros, Minimal immersions of surfaces by the first eigenfunctions and conformal area, *Invent. Math.* 83 (1986), 153–166
- [La] H. B. Lawson, Jr., The unknottedness of minimal embeddings, *Invent. Math.* 11 (1970), 183–187.
- [L1] J. M. Lee, Pseudo-Einstein structure on CR manifolds, *Amer. J. Math.* 110 (1988), 157-178.
- [L2] J. M. Lee, The Fefferman metric and pseudohermitian invariants, *Trans. A.M.S.* 296 (1986), 411-429.
- [Li] P. Li, Geometric analysis, Cambridge Studies in Advanced Mathematics, 134, Cambridge University Press, Cambridge, 2012. x+406 pp. ISBN: 978-1-107-02064-11996.
- [L] A. Lichnerowicz, Géometrie des groupes de transformations, Dunod, Paris, 1958.
- [LL] S.-Y. Li and H.-S. Luk, The sharp lower bound for the first positive eigenvalue of a sub-Laplacian on a pseudo-Hermitian manifold, *Proc. Amer. Math. Soc.* 132 (2004), 789–798.
- [LY1] P. Li and S. T. Yau, Estimates of eigenvalues of a compact Riemannian manifold, *AMS Proc. Symp. in Pure Math.*, Vol. 36 (1980), 205-239.

- [LY2] P. Li and S. T. Yau, On the parabolic kernel of the Schrödinger operator, *Acta Math.* 156 (1985), 153-201.
- [P] S. Paneitz, A quartic conformally covariant differential operator for arbitrary pseudo-Riemannian manifolds, preprint, 1983.
- [Re] R. Reilly, Applications of the hessian operator in a Riemannian manifold, *Indiana U. Math. J.* 26 (1977), 459-472.
- [T] N. Tanaka, A differential geometric study on strongly pseudo-convex manifolds, Kinokuniya Book Store Co., Ltd, Kyoto, 1975.
- [TY] Z-Z. Tang and W.-J. Yan, Isoparametric foliation and Yau conjecture on the first eigenvalue, *J. Diff. Geom.* 94 (2013), 521-540.
- [We] S. M. Webster, Pseudohermitian structures on a real hypersurface, *J. Diff. Geom.* 13 (1978), 25-41.
- [Y] S.-T. Yau, Seminar on differential geometry, edited, *Annals of Math. Studies* 102, Princeton, New Jersey, 1982.

¹DEPARTMENT OF MATHEMATICS AND TAIDA INSTITUTE FOR MATHEMATICAL SCIENCES (TIMS), NATIONAL TAIWAN UNIVERSITY, TAIPEI 10617, TAIWAN.

E-mail address: scchang@math.ntu.edu.tw

²DEPARTMENT OF MATHEMATICS, NATIONAL TAIWAN UNIVERSITY, TAIPEI 10617, TAIWAN, R.O.C.

E-mail address: BabbageTW@gmail.com

³DEPARTMENT OF APPLIED MATHEMATICS, NATIONAL PINGTUNG UNIVERSITY, PINGTUNG 90003, TAIWAN R.O.C.

E-mail address: ctwu@mail.nptu.edu.tw